

Application Form – Direct UK Investors

Fundsmith Sustainable Equity Fund

Application Form – Direct UK Investors

This form is for UK individuals wanting to invest directly in our fund with a 1% annual management charge (the T Class shares) or change an existing monthly savings plan. If you are investing from overseas, or are a corporate investor, please refer to the document page on the website for the correct form.

- **Please complete this form in ink using BLOCK CAPITALS.**
- Return the form to **Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.**
- Please ensure you have arranged for payment (see below). If you wish to pay by debit card, call us on 0330 123 1815 and we will process your application over the phone.
- The Key Investor Information Document and the Supplementary Information Document contain important information about the Fundsmith Sustainable Equity Fund and all investors should read these prior to completing this form. These documents are provided via our website at www.fundsmith.green.
- If you are unsure about the suitability of this fund, please consult your financial adviser.

Name of holder

Title	Surname				
Forename(s)				D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

You can have up to three joint holders if you wish (four people in total on one account), in which case please fill their names in the joint holder section on page 3.

Existing account number (where you have one)
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If the account is for a specific purpose, such as investing on behalf of a minor, you can designate the account for your reference.

Account designation (if applicable)

Permanent residential address in full

Postcode	Email		
Telephone	Mobile		

National Insurance Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If you are resident outside of the UK for tax purposes please complete the Overseas Individual Investor Form.

Investment amount

Share class	Lump sum		Regular monthly savings	
	Minimum amounts	Amount	Minimum	Amount*
	Initial	Follow on		
T class – 1.0% Annual Management Charge	£1,000	£250	£100 per month	

*Note: Where you have an existing regular monthly savings plan, the amount entered above will be **added** to your existing plan and can be below £100.

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Income choice – please tick one of the boxes below

Accumulation Income is retained within the fund and added to your investment

Income Income will be paid to you semi-annually

Payment method

Please indicate the payment methodology

Cheque enclosed payable to Fundsmith LLP

Electronic transfer to Fundsmith LLP's account

Account name: Fundsmith LLP

Sort code: 60-00-01

Account number: 39569128

Please quote your name and Fundsmith account number (If you have one)

Direct Debit, for regular monthly savings (Please complete Direct Debit mandate)

Your bank details for redemptions and income distributions (where applicable)

Name of bank

Branch

Account holder

Sort code

Account number

The above are to be treated as the standing instructions for payment of the redemption settlement proceeds by bank transfer for value within four business days after the valuation point following the dealing instruction(s).

If you wish to use different account details for your income payments please tick this box and supply a covering letter with these details.

Declaration

By signing this form, I declare:

- The information given in this application form about me is correct to the best of my and belief.
- I will notify Fundsmith immediately should any of the information about it, and in particular, any information about my tax residency changes.
- Payment will be instructed as detailed above.
- I am the beneficial owner of this investment.*
- I accept that, in accordance with Fundsmith LLP's money laundering obligations, Fundsmith LLP may require additional information from me and I acknowledge that electronic data sources may be used to check my identity.
- I am NOT a U.S. person, i.e. a U.S. citizen or tax resident in the United States of America.
- I will notify Fundsmith LLP immediately in the event that I become a U.S. person.
- I understand that the Fundsmith Sustainable Equity Fund is not available to U.S. persons.
- I have a copy of the Key Investor Information Document and the Supplementary Information Document and have kept them for my records.
- I consent to Fundsmith LLP providing the Key Investor Information Document and the Supplementary Information Document via Fundsmith's website at www.fundsmith.green.
- I hereby authorise Fundsmith LLP to accept redemption dealing instructions relating to the provided bank account information as supplied either by post, fax, telephone or online via the My Account section of the Fundsmith website.
- Fundsmith will hold any personal information provided by the applicant in confidence and in accordance with data protection law. By completing this Application Form, consent is given to the processing of personal data in accordance with Fundsmith's data protection policy which is set out in the Supplementary Information Document including the transfer of personal data outside of the European Economic Area.
- I declare that I have read the data protection section of the Supplementary Information Document.
- Please tick this box if you wish to receive marketing communications via email and post from Fundsmith.

Signature

Date

* If the applicant is not the beneficial owner, delete this part of the declaration and complete the additional section of this form for beneficial owners.

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Joint holder 1

Title Surname

Forename(s) D.O.B. / /

Permanent residential address in full (if different from the first named holder)

Postcode Email

Telephone Mobile

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

Signature **Date**

Joint holder 2

Title Surname

Forename(s) D.O.B. / /

Permanent residential address in full (if different from the first named holder)

Postcode Email

Telephone Mobile

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

Signature **Date**

Joint holder 3

Title Surname

Forename(s) D.O.B. / /

Permanent residential address in full (if different from the first named holder)

Postcode Email

Telephone Mobile

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

Signature **Date**

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Beneficial ownership details

If the applicant(s) is/are not the beneficial owner(s) please delete the relevant wording in the declaration, and then complete the box below with details of who the beneficial owner is and also advise your relationship to such person.

Title	Surname		
Forename(s)		D.O.B.	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Permanent residential address in full (if different from the first named holder)			
<input type="text"/>			
<input type="text"/>			
Postcode		Email	
Telephone		Mobile	
Relationship to applicant			

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure that the beneficial owner completes the self-certification section at the end of this form.

Signature	Date
<input type="text"/>	<input type="text"/>

Agent

As Agent on the deal, I/we certify that:

- The identity of the above named investor(s) has/have been verified by me/us.
- The documentary evidence to support the identification is held by me/us and can be produced on demand.

Name of adviser/firm/agency		
Financial services register number		
Your Fundsmith agency code		
Please indicate whether advice has been given to UK investor	yes (default) <input type="checkbox"/>	no <input type="checkbox"/>

Please note that, under current regulations, if you have given advice to a UK customer you are unable to receive commission on this investment. If you have not, we can continue with commission payments where applicable. If neither box is ticked, we assume advice has been given.

Stamp (including registered address)
<input type="text"/>
<input type="text"/>
<input type="text"/>

Signature	Date
<input type="text"/>	<input type="text"/>

Individual Self-certification

Tax residency

Tax regulations¹ require us to collect information about each investor's tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a U.S. citizen you must include United States in this table along with your U.S. Tax Identification Number. If you have any questions about your tax residency², please contact your tax adviser.

Country/Countries of tax residency	Tax identification number*
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

*If you are resident in the UK this is your National Insurance Number

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Fundsmith immediately if any of this information changes in the future.

Name (please print in full)	
Permanent residential address	
<input type="text"/>	
<input type="text"/>	
<input type="text"/>	Postcode
Country	D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Signature

Date

<input type="text"/>	<input type="text"/>
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¹ The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).

² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.

³ Those countries that have agreed to exchange information under FATCA and the CRS¹.

Fundsmith

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Fundsmith LLP
PO Box 10846
Chelmsford
CM99 2BW

Service user number

6	9	8	4	4	2
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Name(s) of account holder(s)

Reference

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Instruction to your Bank or Building Society

Please pay Fundsmith LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Fundsmith LLP and, if so, details will be passed electronically to my Bank/Building Society.

Bank/Building Society account number

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Branch sort code

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Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
Address	
Postcode	

Signature(s)
Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

DD12

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Fundsmith LLP will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Fundsmith LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Fundsmith LLP or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society.
 - If you receive a refund you are not entitled to, you must pay it back when Fundsmith LLP asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

