

ISA Transfer Form

Fundsmith Equity Fund and Fundsmith Stewardship Fund

ISA Transfer Form

This form is for individuals wanting to transfer all or part of their ISA from the existing ISA manager to the Fundsmith ISA investing in the Fundsmith Equity Fund or Fundsmith Stewardship Fund T Class shares (1% Annual Management Charge). You can also use this form to make an additional subscription to your Fundsmith ISA if applicable.

- Please complete this form in black ink using **BLOCK CAPITALS**.
- Return the form to Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.
- The Key Investor Information Documents, Fund Prospectuses, Sustainability Disclosure Documents, and Supplementary Information Document, including the Fundsmith ISA Terms and Conditions contain important information about the Fundsmith Equity Fund, Fundsmith Stewardship Fund and the Fundsmith ISA and all investors should read them before making a decision to invest. These documents can be downloaded from our website, www.fundsmith.co.uk.
- If you are unsure about the suitability of these funds, please consult your financial adviser.

If you have any questions regarding this form or would like to discuss any other matter, our team is available to assist you. You can reach us using any of the following:

- **Webchat:** Visit our website to connect with a member of our team.
- **Phone:** 0330 123 1815 (or +44 1268 448659 if calling from overseas) or;
- **Email:** enquiries@fundsmith.co.uk

Personal details

Title

Surname

Forename(s)

D.O.B.

D

D

/

M

M

/

Y

Y

Y

Y

Existing Fundsmith account number (if available)

☐ If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Contact details

Permanent residential address

Postcode

Email

Telephone

Mobile

National Insurance Number

Please provide your National Insurance Number

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If you don't have a National Insurance Number, please provide a rationale:*

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*To be eligible for an ISA, you must generally be a **UK tax resident** and will be required to declare this at the end of this form.

You must provide a National Insurance Number to apply for an ISA unless you are not eligible for one. You can check if you are eligible and apply online at www.gov.uk/apply-national-insurance-number. If you are not eligible, please state "Not Eligible" in the rationale box.

ISA Transfer Form

Fund Choice

Please select below which of our fund(s) you would like to transfer all or part of your existing ISA holdings to. You are able to hold both funds within a Fundsmith ISA if you wish, and you can do this by providing the percentage of your ISA transfer that you wish to allocate to each fund in the box below. Please ensure when doing this that you can meet the minimum investment amount of each fund.

Fund Choice	Minimums		Percentage
	Initial minimum	Minimum top up	% of transferred ISA funds to be invested (total 100%)
Fundsmith Equity Fund	£1,000	£250	%
	Initial minimum	Minimum top up	
Fundsmith Stewardship Fund	£1,000	£250	%

Note: If you choose to split your investment between the two funds, the minimum investment for each fund still applies, therefore, if you have not met the minimum investment we will by default invest 100% of the proceeds into the fund you have chosen to allocate a larger percentage. Investments will be placed into the 'T Class' for both funds which has a 1% Annual Management Charge.

Income choice

Accumulation ☐ Income is retained within the fund

Income ☐ Income will be paid to you semi-annually

Your bank details

Please provide one of the following documents in order for us to verify your nominated bank account (please note that we only accept originals or certified copies):

- A cancelled cheque for the bank account;
- A paying in slip for the bank account;
- A statement for the bank account dated within the last three months;
- A letter from the bank confirming ownership of the bank account.

Name of bank

Branch

Account holder(s)

Sort code

Account number

For international bank accounts, please provide the following information

BIC/SWIFT

IBAN

By completing this section you are providing a standing instruction for Fundsmith to pay any future withdrawal or income distribution to the above bank account. Generally payments are made for a value date **four business days** after the valuation point following the dealing instruction(s).

I/we hereby authorise Fundsmith LLP to accept redemption dealing instructions relating to the above account(s) either by post, fax, telephone or online by verified channels. This agreement shall be treated as the 'Form of Renunciation' and 'Instrument of Transfer' of the shares to Fundsmith LLP for each redemption deal placed.

ISA Transfer Form

Further subscription (if applicable)

If you have available ISA allowance left and would like to invest it with us, please fill out this section. If not, you can leave it blank.

Fund Choice	Lump sum			Monthly Direct Debit	
	Initial minimum	Minimum top up	Amount	Minimum	Amount
Fundsmith Equity Fund	£1,000	£250		£100 per month	
	Initial minimum	Minimum top up	Amount	Minimum	Amount
Fundsmith Stewardship Fund	£1,000	£250		£100 per month	

Note: Where you have an existing monthly Direct Debit, the amount entered above will be **added** to the amount you already invest each month. You must ensure that the amount invested remains within the ISA subscription limit. Investments will be placed into the 'T Class' for both funds which has a 1% Annual Management Charge.

Payment method

Please indicate how you will be paying for your ISA subscription(s):

- ☐ Direct Debit*, paid on the 1st of each month from the bank account provided on this form
- ☐ Cheque enclosed payable to Fundsmith LLP

*Please ensure you have completed the Direct Debit form at the back of this application.

ISA Transfer Form

Declaration

I declare that:

- This Transfer Form constitutes my request to Fundsmith LLP to accept the transfer of the indicated portion of my ISA(s) and to act as ISA Manager.
- I apply to open a Stocks and Shares ISA for the tax year 20 / 20 .
- This declaration has effect for the tax year above and each subsequent year until further notice.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total in this tax year and in each successive year in which this declaration has effect.
- All subscriptions made, and to be made, belong to me.
- I am over 18 years of age.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (crown employees serving overseas), are being treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Fundsmith LLP if I cease to be a resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I am NOT a US Person i.e. resident in the United States of America, and I will notify Fundsmith LLP immediately in the event that I become a U.S. person. I understand that the funds are not available to U.S. persons.
- I will notify Fundsmith immediately should any of the information provided, and in particular, any information about my tax residency change.
- I have a copy of the latest available Key Investor Information Documents, Prospectuses, Sustainability Disclosure Documents, and Supplementary Information Document and have kept them for my records. I consent to Fundsmith LLP providing the documents via the Fundsmith's websites.
- I agree to be bound by the ISA Terms and Conditions and accept that these may be varied in accordance with their terms.
- This application form has been completed to the best of my knowledge and belief.
- I am the beneficial owner of this investment.
- In accordance with our regulatory obligations, Fundsmith LLP may require additional information from me and I acknowledge that electronic data sources may be used to check my identity.
- If I am making further subscriptions, I further state payment will be effected as stated above.
- I acknowledge that the Fundsmith Stewardship Fund does not have a UK sustainable investment label as it does not have a sustainability goal. Sustainable investment labels help investors find products that have a special sustainability goal.

I authorise Fundsmith LLP:

- To hold my cash subscription (if making a new investment), ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To invest the transfer proceeds in the relevant Fund as set out above.
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- To accept redemption dealing instructions either by post, telephone or online via the myAccount section of the Fundsmith website, and for payment to be made to the bank account specified above.

Data Protection

- I acknowledge that Fundsmith will process any personal data that is provided or is otherwise obtained in connection with this Transfer Form in accordance with Fundsmith's data protection policy, a copy of which is set out in the Supplementary Information Document.
- To the extent that Fundsmith receives any special category data in connection with the processing of this Transfer Form (including, for example, identification documentation from which my racial or ethnic origin may be identified) I acknowledge that the lawful basis upon which this processing shall be carried out shall be as set out in the Supplementary Information Document (as updated and amended from time to time).

Please tick this box if you wish to receive marketing communications via emails and post from Fundsmith. ☐

Signature

Date

ISA Transfer Form

Transfer authority(ies)

Please complete the following ISA Transfer Authority. Please note that if you are transferring ISA Plans from more than one Plan Manager you need to complete a separate authority for each different Plan Manager.

Authority to transfer my ISA Plan(s)

Your name	Existing ISA provider's name
Your address	Existing ISA provider's address
Postcode	Postcode
Existing ISA account number(s)	Tax year(s) of existing ISA
Full transfer <input type="checkbox"/> Approximate value of full transfer £	Partial transfer <input type="checkbox"/> Amount to be transferred £
Cash ISA <input type="checkbox"/> Stocks and Shares <input type="checkbox"/>	

I hereby instruct the above ISA Manager to sell my ISA plan investments relating to the above ISA plan(s) immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my ISAs. It is understood that my existing ISA Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

Signature	Date
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Transfer authority(ies)

Please complete the following ISA Transfer Authority. Please note that if you are transferring ISA Plans from more than one Plan Manager you need to complete a separate authority for each different Plan Manager.

Authority to transfer my ISA Plan(s)

Your name	Existing ISA provider's name
Your address	Existing ISA provider's address
Postcode	Postcode
Existing ISA account number(s)	Tax year(s) of existing ISA
Full transfer <input type="checkbox"/> Approximate value of full transfer £	Partial transfer <input type="checkbox"/> Amount to be transferred £
Cash ISA <input type="checkbox"/> Stocks and Shares <input type="checkbox"/>	

I hereby instruct the above ISA Manager to sell my ISA plan investments relating to the above ISA plan(s) immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my ISAs. It is understood that my existing ISA Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

Signature	Date
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Fundsmith

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

Fundsmith LLP
PO Box 10846
Chelmsford
CM99 2BW

Service user number

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Name(s) of account holder(s)

Bank/Building Society account number

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Branch sort code

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Name and full postal address of your Bank or Building Society

To: The Manager	Bank/Building Society
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Address

Postcode

Reference

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Instruction to your Bank or Building Society

Please pay Fundsmith LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Fundsmith LLP and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

DDI2

This guarantee should be detached and retained by the payer.

The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Fundsmith LLP will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Fundsmith LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Fundsmith LLP or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society.
 - If you receive a refund you are not entitled to, you must pay it back when Fundsmith LLP asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.