

# **ISA Transfer Form**

Fundsmith Equity Fund and Fundsmith Sustainable Equity Fund

## ISA Transfer Form

This form is for individuals wanting to transfer their ISA held with another manager to the Fundsmith ISA.

- **Please complete this form in ink using BLOCK CAPITALS.**
- Return the form to **Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.**
- The Key Investor Information Documents and Supplementary Information Document, including the Fundsmith ISA Terms and Conditions contain important information about the Fundsmith Equity Fund, Fundsmith Sustainable Equity Fund and the Fundsmith ISA and all investors should read them prior to completing this form. These documents are provided via our websites ([www.fundsmith.co.uk](http://www.fundsmith.co.uk) for Fundsmith Equity Fund and [www.fundsmith.green](http://www.fundsmith.green) for Fundsmith Sustainable Equity Fund)
- If you are unsure about the suitability of these funds, please consult your financial adviser.

**Name of holder**

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Existing Fundsmith account number (if available)			

**Permanent residential address in full**

Postcode	Email		
Telephone	Mobile		

**National insurance number**

Please enter your national insurance number

If you do not have one, please tick this box

**Fund Choice**

Please select below which of our funds you would like to transfer your existing ISA holdings to. You are able to hold both funds within a Fundsmith ISA if you wish, and you can do this by providing the percentage of your ISA transfer that you wish to allocate to each fund in the box below. Please ensure when doing this that you can meet the minimum investment amount of each fund.

Fund Choice	Minimums		Percentage
	Initial	Follow on	% of transferred ISA funds to be invested (total 100%)
<b>Fundsmith Equity Fund</b>	£1,000	£250	%
	Initial	Follow on	
<b>Fundsmith Sustainable Equity Fund</b>	£1,000	£250	%

**Note:** If you choose to split your investment between the two funds, the minimum investment for each fund still applies, therefore, if you have not met the minimum investment we will by default invest 100% of the proceeds into the fund you have chosen to allocate a larger percentage too. Investments will automatically be placed into the 'T Class' for both funds which has a 1% Annual Management Charge.

## ISA Transfer Form

### Income choice – please tick one of the boxes below

Accumulation  Income is retained within the fund and added to your investment

Income  Income will be paid to you semi-annually

### Bank details for redemptions and distributions (where applicable)

Bank or building society

Branch

Account holder

Sort code

  

Account number

       

**This constitutes as the standing instructions for payment of the redemption settlement proceeds and any income distributions to the above bank account by bank transfer for value generally within four business days after the valuation point following the dealing instruction(s).**

If you wish to use different account details for your income payments please tick this box and supply a covering letter with these details.

### Further subscription (if applicable)

If you have available ISA allowance left and would like to invest it with us, please fill out this section. If not, leave blank.

I apply to subscribe to a Stocks and Shares ISA for the 20  /20  tax year for the amounts listed below.

Fund Choice	Lump sum			Regular monthly savings	
	Minimum amounts				
	Initial	Follow on	Amount	Minimum	Amount
Fundsmith Equity Fund	£1,000	£250		£100 per month	
	Initial	Follow on	Amount	Minimum	Amount
Fundsmith Sustainable Equity Fund	£1,000	£250		£100 per month	

**Note:** Where you have an existing regular monthly savings plan, the amount entered above will be **added** to your existing plan and can be below £100. You must ensure that the amount invested remains within the ISA subscription limit. Investments will automatically be placed into the 'T Class' for both funds which has a 1% Annual Management Charge.

### Payment method for further subscriptions

If you, not your adviser, are arranging payment, please indicate payment method

Cheque enclosed payable to Fundsmith LLP

Direct Debit, for regular monthly savings (please complete Direct Debit mandate)

## ISA Transfer Form

### Declaration

#### By signing this form, I state:

- This Transfer Form constitutes my request to Fundsmith LLP to accept the transfer of my ISA(s) and to act as ISA Manager.
- All subscriptions made, and to be made, belong to me.
- I am over 18 years of age.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (crown employees serving overseas), are being treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Fundsmith LLP if I cease to be a resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I am NOT a US person i.e. a US citizen or tax resident in the United States of America and I will notify Fundsmith LLP immediately in the event that I become a U.S. person. I understand that the funds are not available to U.S. persons.
- I have a copy of the Key Investor Information Documents for the T Class shares in the funds and Supplementary Information Document and have kept them for my records. I consent to Fundsmith LLP providing the Key Investor Information Documents via Fundsmith websites.
- I agree to be bound by the ISA Terms and Conditions and accept that these may be varied in accordance with their terms.
- This application form has been completed to the best of my knowledge and belief.
- I am the beneficial owner of this investment.
- In accordance with the money laundering regulations, Fundsmith LLP may require additional information from me and I acknowledge that electronic data sources may be used to check my identity.
- If I am making further subscriptions, I further state:
  - (i) I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year.
  - (ii) I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA, a Stocks and Shares ISA, an Innovative Finance ISA and a Lifetime ISA in the same tax year.
  - (iii) Payment will be effected as stated above.

#### I authorise Fundsmith LLP

- To hold my cash subscription (if making a new investment), ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To invest the transfer proceeds in the relevant Fund as set out above.
- To make on my behalf any claims to relief from tax in respect of ISA investments.
- To accept redemption dealing instructions either by post, fax, telephone for Fundsmith Equity Fund or online via the My Account section of the Fundsmith website, and for payment to be made to the bank account specified above.
- To hold any personal information provided to it in confidence and in accordance with data protection law. Fundsmith LLP may use this data and/or share it with others identified in, and for only the purposes described in, the Supplementary Information Document under the heading 'Personal Data'. I consent to this processing of personal data including the transfer of my personal data outside of the United Kingdom.
- I declare that I have read the data protection section of the Supplementary Information Document. Please tick this box if you wish to receive marketing communications via emails and post from Fundsmith.

**Signature**

**Date**



Please return the form to **Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW**

## ISA Transfer Form

### Transfer authority(ies)

Please complete the following ISA Transfer Authority. Please note that if you are transferring ISA Plans from more than one Plan Manager you need to complete a separate authority for each different Plan Manager.

#### Authority to transfer my ISA Plan(s)

Your name	Existing ISA provider's name
Your address	Existing ISA provider's address
Postcode	Postcode
Existing ISA account number(s)	Tax year(s) of existing ISA
Full transfer <input type="checkbox"/> Approximate value of full transfer £	Partial transfer* <input type="checkbox"/> Amount to be transferred £
Cash ISA <input type="checkbox"/> Stocks and Shares <input type="checkbox"/>	<b>*Please note partial transfers are only available for previous tax years.</b>

I hereby instruct the above ISA Manager to sell my ISA plan investments relating to the above ISA plan(s) immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my ISAs. It is understood that my existing ISA Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

Signature	Date
-----------	------

### Transfer authority(ies)

Please complete the following ISA Transfer Authority. Please note that if you are transferring ISA Plans from more than one Plan Manager you need to complete a separate authority for each different Plan Manager.

#### Authority to transfer my ISA Plan(s)

Your name	Existing ISA provider's name
Your address	Existing ISA provider's address
Postcode	Postcode
Existing ISA account number(s)	Tax year(s) of existing ISA
Full transfer <input type="checkbox"/> Approximate value of full transfer £	Partial transfer* <input type="checkbox"/> Amount to be transferred £
Cash ISA <input type="checkbox"/> Stocks and Shares <input type="checkbox"/>	<b>*Please note partial transfers are only available for previous tax years.</b>

I hereby instruct the above ISA Manager to sell my ISA plan investments relating to the above ISA plan(s) immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my ISAs. It is understood that my existing ISA Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

Signature	Date
-----------	------

This page is intentionally left blank

