

## **Junior ISA/Child Trust Fund Transfer Form**

Fundsmith Equity Fund and Fundsmith Stewardship Fund

# Junior ISA/Child Trust Fund Transfer Form

This form is for transferring an existing Junior ISA (JISA) or Child Trust Fund (CTF) into a Fundsmith JISA. Note that you can only transfer the full amount of the CTF or another Stocks and Shares JISA.

- Please complete this form in black ink using **BLOCK CAPITALS**.
- Return the form to Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.
- The Key Investor Information Documents, Fund Prospectuses, Sustainability Disclosure Document, and Supplementary Information Document, including the JISA Terms & Conditions contain important information about the Fundsmith Equity Fund, Fundsmith Stewardship Fund and Fundsmith JISA. All investors should read them before making a decision to invest. These documents can be downloaded from our website, [www.fundsmith.co.uk](http://www.fundsmith.co.uk).
- If you are unsure about the suitability of these funds, please consult your financial adviser.
- Please note all investments in the Fundsmith JISA are invested in T Class Accumulation shares (1% Annual Management Charge) and no income is paid out to the child.

If you have any questions regarding this form or would like to discuss any other matter, our team is available to assist you. You can reach us using any of the following:

- **Webchat:** Visit our website to connect with a member of our team.
- **Phone:** 0330 123 1815 (or +44 1268 448659 if calling from overseas) or;
- **Email:** [enquiries@fundsmith.co.uk](mailto:enquiries@fundsmith.co.uk)

### Important notice for CTF Transfers

If transferring a **Stakeholder CTF**, please be aware that the following features of a Stakeholder CTF are not included in the Fundsmith JISA:

- Lifestyling from age 15.
- A minimum subscription of £10.

If the transfer is unsuccessful, any provisionally opened JISA will be invalid, and any subscriptions will be returned. The CTF will remain intact.

If you are applying to transfer a stakeholder CTF, please confirm you understand the above and still wish to proceed

**Personal details of child - will be the beneficial owner of the account investments**

Title  Surname

Forename(s)  D.O.B.   /   /

Existing Fundsmith account number (if applicable)

**Permanent residential address**

Postcode

**National Insurance Number**

Please provide the child's National Insurance Number (if they are over 16 and have one).

If you are transferring a CTF please also enter the child's CTF Unique Reference Number if known.

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**Details of applicant and registered contact**

If the child is under 16 we require the name and address of a person who has parental responsibility for the child and with whom we will communicate about the investment. If the child is 16 or over and wishes to be the registered contact for their own JISA, then this section can be left blank.

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Address			
Postcode		Email	
Telephone		Mobile	

**Fund Choice**

Please select below which of our fund(s) you would like to transfer your existing JISA/CTF holdings to. You are able to hold both funds within a Fundsmith JISA if you wish, you can do this by providing the percentage of your JISA/CTF transfer that you wish to allocate to each fund in the box below. Please ensure when doing this that you can meet the minimum investment amount of each fund.

Fund Choice	Minimums		Percentage
	Minimum	Minimum top up	% of transferred JISA/CTF funds to be invested (total 100%)
<b>Fundsmith Equity Fund</b>	£1,000	£250	<input type="text"/> %
<b>Fundsmith Stewardship Fund</b>	£1,000	£250	<input type="text"/> %

**Note:** If you choose to split your investment between the two funds, the minimum investment for each fund still applies, therefore, if you have not met the minimum investment we will by default invest 100% of the proceeds into the fund you have chosen to allocate a larger percentage. Investments will be placed into the 'T Class' for both funds which has a 1% Annual Management Charge.

## Junior ISA/Child Trust Fund Transfer Form

### Further subscription (if applicable)

If you have available JISA allowance left and would like to invest it with us, please fill out this section. If not, you can leave it blank.

Fund Choice	Lump sum			Monthly Direct Debit	
	Minimum	Minimum top up	Amount	Minimum	Amount
<b>Fundsmith Equity Fund</b>	£1,000	£250		£100 per month	
	Minimum	Minimum top up	Amount	Minimum	Amount
<b>Fundsmith Stewardship Fund</b>	£1,000	£250		£100 per month	

**Note:** .

- Existing Direct Debits: Any new amount entered above will be added to the current monthly contribution.
- Please ensure your total investment remains within the JISA subscription limit. Please be aware that JISA allowances vary from tax year to tax year and can be found at [www.gov.uk/junior-individual-savings-accounts](http://www.gov.uk/junior-individual-savings-accounts).
- Investments will automatically be placed into **T Class Shares** (1% Annual Management Charge).

### Payment method

Please indicate how you will be paying for your JISA subscription(s):

- Electronic transfer to Fundsmith LLP's account  
**Account name:** Fundsmith LLP Client Money Receipts Account  
**Sort code:** 60-00-01  
**Account number:** 39569128  
 Please quote your name and Fundsmith account number (If you have one)
- Direct Debit\*, paid on the 1st of each month from the bank account provided on this form
- Cheque enclosed payable to Fundsmith LLP

\*Please ensure you have completed the Direct Debit form at the back of this application.

### Details of payer if different from registered contact (if applicable)

The below named individual will be authorised to make the initial investment and/or set up a Direct Debit, however, all future investments and amendments need to be authorised by the registered contact either verbally or by signed authority.

Title	Surname																				
Forename(s)							D.O.B.					D	D	/	M	M	/	Y	Y	Y	Y
Permanent residential address																					
Postcode										Email											
Telephone										Mobile											

## Junior ISA/Child Trust Fund Transfer Form

### Declaration by application

#### I declare that (delete as appropriate):

- This transfer form constitutes my request to Fundsmith LLP to accept the transfer of the Child Trust Fund/Junior Stocks and Shares ISA referred to below and to open a Fundsmith JISA which is a Stocks and Shares JISA.
- I am applying to open a stocks and shares JISA on behalf of the child/on behalf of myself.
- I am 16 years of age or over.
- I am the child/I have parental responsibility for the child and will be the registered contact for this JISA.
- The child does not hold a Child Trust Fund or any Child Trust Fund held by the child will be transferred as part of this application.
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in civil partnership with the UK crown servant.\*
- I am not and the child is not a US Person i.e. resident in the United States of America and I will notify Fundsmith LLP immediately in the event that I or the child become a U.S person. I understand that the Fundsmith Equity Fund and Fundsmith Stewardship Fund are not available to US persons.
- I have not, and will not, subscribe to any other Stocks and Shares JISA for this child.
- I am not aware that this child has any other Stocks and Shares JISA or any other Stocks and Shares JISA will be transferred as part of this application.
- I am not aware of other JISA subscriptions that will result in JISA subscriptions for this child exceeding the annual limit.
- I have not subscribed and I will not knowingly make subscriptions to JISA for this child that will, when aggregated with other subscriptions, result in the overall subscription limit being exceeded for the tax year in which this application is made and in any subsequent tax year.
- I have a copy of the Key Investor Information Documents for the T Class Shares in the funds, Fund Prospectuses, Sustainability Disclosure Document, and Supplementary Information Document, including the Junior ISA Terms & Conditions and have kept them for my records. I consent to Fundsmith LLP providing the Documents via the Fundsmith website.
- I agree to the Junior ISA terms and conditions and accept that these may be varied in accordance with their terms. I confirm that to the best of my knowledge and belief the information in this form is true.
- I apply to open a Stocks and Shares JISA for the tax year 20  / 20  .\*\*
- This declaration has effect for the tax year above and each subsequent year until the account is closed.\*\*
- I acknowledge that the Fundsmith Stewardship Fund does not have a UK sustainable investment label as it does not have a sustainability goal. Sustainable investment labels help investors find products that have a special sustainability goal.

\* This statement can be deleted in relation to a Child Trust Fund transfer if it is not true.

\*\* These statements only apply if you are subscribing new money to the JISA.

#### I authorise Fundsmith LLP:

- To hold the subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on the child's behalf any claims to relief from tax in respect of the JISA investments.

#### Data Protection

- I acknowledge that Fundsmith will process any personal data that is provided or is otherwise obtained in connection with this Application Form in accordance with Fundsmith's data protection policy, a copy of which is set out in the Supplementary Information Document.
- To the extent that Fundsmith receives any special category data in connection with the processing of this Application Form (including, for example, identification documentation from which my racial or ethnic origin, or the racial or ethnic origin of the child (as applicable) may be identified) I acknowledge that the lawful basis upon which this processing shall be carried out shall be as set out in the Supplementary Information Document (as updated and amended from time to time).

Please tick this box if you wish to receive marketing communications via emails and post from Fundsmith.

Signature

Date

**Junior ISA/Child Trust Fund Transfer Form**

**Transfer Authority(ies)**

Please complete the following Transfer Authority. Please note that if you are transferring JISA Plans from more than one Plan Manager you need to complete a separate authority for each different Plan Manager.

**Authority to transfer my Junior ISA Plan(s)/Child Trust Fund(s)**

Name of child		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Registered contact name		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Existing JISA/CTF provider name			
Registered contact address		Existing JISA/CTF provider address	
<input type="text"/>		<input type="text"/>	
<input type="text"/>	Postcode	<input type="text"/>	Postcode
Child's account number with existing provider		Tax year(s) of previous investments	
Approx value of full transfer		£	Approx value of partial transfer*
<input type="text"/>		<input type="text"/>	£

I hereby instruct the above Manager to sell the plan investments relating to the above JISA plan(s) or Child Trust Fund immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my child's JISA or Child Trust Fund. It is understood that the existing Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

<b>Signature</b>	<b>Date</b>
<input type="text"/>	<input type="text"/>

\*This is only available when partially transferring a cash JISA. Stocks and Shares JISA must be transferred in full.

**Authority to transfer my Junior ISA Plan(s)/Child Trust Fund(s)**

Name of child		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Registered contact name		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Existing JISA/CTF provider name			
Registered contact address		Existing JISA/CTF provider address	
<input type="text"/>		<input type="text"/>	
<input type="text"/>	Postcode	<input type="text"/>	Postcode
Child's account number with existing provider		Tax year(s) of previous investments	
Approx value of full transfer		£	Approx value of partial transfer
<input type="text"/>		<input type="text"/>	£

I hereby instruct the above Manager to sell the plan investments relating to the above JISA plan(s) or Child Trust Fund immediately, to transfer the cash proceeds together with any uninvested cash, dividends or tax credits to Fundsmith LLP and supply them with any information they may require in relation to my child's JISA or Child Trust Fund. It is understood that the existing Manager will be responsible for collecting any dividends received after transfer and making any tax reclaims in respect thereof and forwarding these to Fundsmith LLP.

<b>Signature</b>	<b>Date</b>
<input type="text"/>	<input type="text"/>

\*This is only available when partially transferring a cash JISA. Stocks and Shares JISA must be transferred in full.

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# Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

**Fundsmith LLP**  
**PO Box 10846**  
**Chelmsford**  
**CM99 2BW**

**Service user number**

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**Name(s) of account holder(s)**


**Reference**

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**Bank/Building Society account number**

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**Branch sort code**

--	--	--	--	--	--

**Name and full postal address of your Bank or Building Society**

To: The Manager	Bank/Building Society
Address	
Postcode	

**Instruction to your Bank or Building Society**

Please pay Fundsmith LLP Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Fundsmith LLP and, if so, details will be passed electronically to my Bank/Building Society.

Signature(s)
Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

DDI2

This guarantee should be detached and retained by the payer.

## The Direct Debit Guarantee

- This Guarantee is offered by all Banks and Building Societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit Fundsmith LLP will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request Fundsmith LLP to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Fundsmith LLP or your Bank or Building Society, you are entitled to a full and immediate refund of the amount paid from your Bank or Building Society.
  - If you receive a refund you are not entitled to, you must pay it back when Fundsmith LLP asks you to.
- You can cancel a Direct Debit at any time by simply contacting your Bank or Building Society. Written confirmation may be required. Please also notify us.

