

## Application Form - Overseas Individual Investors

This form is for individuals wanting to invest with us and who are not based in the UK or South Africa. If you are based in the UK, please use the Direct UK Investors Form. If you are from the Republic of South Africa, we have a separate Application Form which can be found on our website [www.fundsmith.co.uk/global/za](http://www.fundsmith.co.uk/global/za).

- Please complete this form in black ink using **BLOCK CAPITALS**.
- Return the form to **Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW, United Kingdom**. If you wish to courier the form, please send to **Fundsmith LLP, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG, United Kingdom**.
- The Key Investor Information Document and the Supplementary Information Document contain important information about the Fundsmith Equity Fund and Fundsmith Sustainable Equity Fund and all investors should read these prior to completing this form. All of these documents can be found at [www.fundsmith.co.uk](http://www.fundsmith.co.uk) and [www.fundsmith.green](http://www.fundsmith.green).
- If you are unsure about the suitability of this product, please consult with your financial adviser.
- **Important - Upon receipt of an acceptable form, we will open your account and purchase the shares for you. Payment must be received within our settlement period of four working days from the date we receive your application. Please therefore ensure you have arranged for payment.**

### Name of holder

For an individual holder

Title	Surname
Forename(s)	
D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	

If the account is for a specific purpose, you can designate the account for your reference.

Account designation (if applicable)
Existing Fundsmith account number (if available)

You can have up to three joint holders if you wish (four people in total on one account), in which case please fill their names in the joint holder section on pages 3 and 4.

### Permanent residential address in full

Postcode	Email
Telephone	Mobile

### Postal address

We are required to send certain documents to your registered address, however, if you would like to add a correspondence address for any other post, please provide this below.

	Postcode

## Application Form - Overseas Individual Investors

### Fund and Investment amount

#### Fund choice

Please select the fund in which you wish to invest

#### Fundsmith Equity Fund

#### Share class

Please select the Share Class in which you wish to invest

I Class £5m minimum

R Class £1000 minimum

T Class £1000 minimum

Please confirm the amount you wish to invest in the above fund

#### Fundsmith Sustainable Equity Fund

#### Share class

Please select the Share Class in which you wish to invest

I Class £5m minimum

T Class £1000 minimum

Please confirm the amount you wish to invest in the above fund

### Income choice – please tick one of the boxes below

Accumulation  Income is retained within the fund and added to your investment

Income  Income will be paid to you semi-annually

### Payment method

**Important** - Below are our bank account details for investments into our Funds. You are required to send us the exact amount to be invested quoted on this page (net of any charges) within four working days of your Application Form being received, so please ensure that you have arranged for payment in good time. Payments received after this time can and will be returned, and we reserve the right to cancel your investment if money is not received within the settlement period.

#### Bank account details

**Account name:** Fundsmith LLP

**Sort code:** 60-00-01

**Account number:** 39569128

**IBAN:** GB42NWBK60000139569128

**Bank name:** National Westminster Bank

**BIC/SWIFT:** NWBKGB2L

**Bank address:** Natwest Bank Plc, City of London Office.  
1 Princes Street, London EC2R 8BP,

Please quote your name and Fundsmith account number (if you have one) as a reference on the payment.

### Your bank details for redemptions and income distributions (where applicable)

Name of Bank

Branch

Account holder(s) name

BIC/SWIFT

IBAN

**This constitutes the standing instructions for payment of the redemption settlement proceeds and income distributions by bank transfer to the bank account detailed above for value generally within four business days after the valuation point following the dealing instruction(s).**

If you wish to use different account details for your income payments please tick this box and supply a covering letter with these details.

## Application Form - Overseas Individual Investors

Additional sections		Completed
Joint holders	To be completed if the investment is to be held jointly	<input type="checkbox"/>
Beneficial ownership	To be completed if the named investor is not the beneficial owner	<input type="checkbox"/>
Financial adviser	If the investor is applying via a financial adviser or dealer	<input type="checkbox"/>
Individual self-certification	To be completed by all applicants (including joint holders)	<input type="checkbox"/>
Anti-Money Laundering requirements	Please read these and arrange the documents as requested	<input type="checkbox"/>

### Declaration

**By signing this form, I declare:**

- The information given in this application form is correct to the best of my knowledge and belief.
- I will notify Fundsmith immediately should any of the information provided, and in particular, any information about my tax residency, changes.
- Payment will be effected as detailed on the previous page.
- I am the beneficial owner of this investment.\*
- I accept that, in accordance with Fundsmith LLP's money laundering obligations, Fundsmith LLP may require additional information from me and I acknowledge that electronic data sources may be used to check my identity.
- I am NOT a U.S. person, i.e. a U.S. citizen or tax resident in the United States of America.
- I will notify Fundsmith LLP immediately in the event that I become a U.S. person.
- I understand that the Fundsmith Equity Fund and Fundsmith Sustainable Equity Fund are not available to U.S. persons.
- I have a copy of the Key Investor Information Document for the relevant class of Shares in the Funds in which I intend to invest and the Supplementary Information Document and have kept them for my records.
- I consent to Fundsmith LLP providing the Key Investor Information Document and the Supplementary Information Document via Fundsmith's website at [www.fundsmith.co.uk](http://www.fundsmith.co.uk) and [www.fundsmith.green](http://www.fundsmith.green).
- I hereby authorise Fundsmith LLP to accept redemption dealing instructions either by post, fax, telephone for Fundsmith Equity Fund or online via the My Account section of the Fundsmith website and to make payments to the bank account details of which are provided above.
- Fundsmith will hold any personal information provided by the applicant in confidence and in accordance with data protection law. By completing this Application Form, consent is given to the processing of personal data in accordance with Fundsmith's data protection policy which is set out in the Supplementary Information Document including the transfer of personal data outside of the United Kingdom.
- I declare that I have read the data protection section of the Supplementary Information Document.
- Please tick this box if you wish to receive marketing communications via email and post from Fundsmith.

\* If the applicant is not the beneficial owner, delete this part of the declaration and complete the additional section of this form for beneficial owners.

**Read and understand declarations are considered unfair when dealing with retail investors.**

**Signature** **Date**

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## Application Form - Overseas Individual Investors

**Joint holder 1**

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Permanent residential address in full (if different from the first named holder)			
<input type="text"/>			
<input type="text"/>			
Postcode		Email	
Telephone		Mobile	

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

<b>Signature</b>	<b>Date</b>
<input type="text"/>	<input type="text"/>

**Joint holder 2**

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Permanent residential address in full (if different from the first named holder)			
<input type="text"/>			
<input type="text"/>			
Postcode		Email	
Telephone		Mobile	

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

<b>Signature</b>	<b>Date</b>
<input type="text"/>	<input type="text"/>

**Joint holder 3**

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Permanent residential address in full (if different from the first named holder)			
<input type="text"/>			
<input type="text"/>			
Postcode		Email	
Telephone		Mobile	

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure you have completed your own individual self-certification form at the end of this form.

<b>Signature</b>	<b>Date</b>
<input type="text"/>	<input type="text"/>

## Application Form - Overseas Individual Investors

### Beneficial ownership details

If the applicant(s) is/are not the beneficial owner(s) please delete the relevant wording in the declaration, and then complete the box below with details of who the beneficial owner is and also advise your relationship to such person.

Title	Surname																
Forename(s)							D.O.B.	D	D	/	M	M	/	Y	Y	Y	Y
Permanent residential address in full (if different from the first named holder)																	
Postcode					Email												
Telephone									Mobile								
Relationship to applicant																	

By signing below, I indicate that I have read the Declaration and agree to be bound by its terms. Please also ensure that the beneficial owner completes the self-certification section at the end of this form.

**Signature**

**Date**

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### Agent

As Agent on the deal, I/we certify that:

- The identity of the above named investor(s) has/have been verified by me/us in accordance with or to a level exceeding the standard evidence set out in the guidance for the UK financial sector issued by the Joint Money Laundering Steering Group (JMLSG).
- The documentary evidence to support the identification is held by me/us and can be produced on demand.

Name of adviser/firm/agency
Financial services register number
Your Fundsmith agency code

Please confirm whether you are an 'Advice Giving' or 'Execution Only' agent on this account. Please note that renewal commission can only be paid on accounts that are i) Invested in the R Class ii) Set as Execution Only and iii) Are eligible to receive it.

If you are setting up the account to receive renewal commission, please also confirm by way of a covering letter, the bank account details for your agency and something to verify them (original or certified copy of a bank statement):

- Advice Giving
- Execution Only

Please confirm your agency address below. This will be used for any correspondence we send relating to your client.

Stamp (including registered address)

**Signature**

**Date**

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# Individual Self-certification

## Tax residency

Tax regulations<sup>1</sup> require us to collect information about each investor's tax residency<sup>2</sup>. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions<sup>3</sup>.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a U.S. citizen you must include United States in this table along with your U.S. Tax Identification Number. Please note the Funds are not available for investment by US citizens.. If you have any questions about your tax residency<sup>2</sup>, please contact your tax adviser.

Country/Countries of tax residency	Tax identification number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

## Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Fundsmith immediately if any of this information changes in the future.

**Signature**

**Date**

## Explanation Notes

- <sup>1</sup> The term "tax regulations" refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
- <sup>2</sup> In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
- <sup>3</sup> Those countries that have agreed to exchange information under FATCA and the CRS1.

### Anti-Money Laundering Requirements

#### Certification guidelines

As part of our client verification process we require evidence of the following documentation listed below. The copies must be certified by authorised signatories such as an Embassy, High Commission or Consulate, Notary Public, Certified Chartered Accountant, Lawyer, Regulated Financial Professional, Justice of the Peace, Official Government Agency, Member of the Judiciary or a Commissioner of Oaths

- We must receive wet ink copies of the certified documents
- In order for the certification to be considered acceptable, it must include the certifiers name, the name of the company the certifier works for, the company contact details (phone and emails), the companies address, the certifiers signature, the date (must be within 3 months) and their professional membership number
- The person undertaking the certification must be easy to contact and not a family member or be retired
- The wording on the certification should include "This is a true copy of the original [document] as witnessed by me" and, where applicable, "The photograph is a true likeness of the holder".

#### Document list

Please provide one document from each of the sections below. You cannot provide one document (e.g. driving license) for both personal identity and evidence of address, we must receive a different document to verify identity and address separately.

##### SECTION ONE: Evidence of personal identity

- Current signed passport
- Current driving licence
- National identification card

##### SECTION TWO: Evidence of address

- Recent (within the past 3 months) utility bill (mobile phone bills not accepted)
- Current driving license (must contain address)
- Recent bank statement (can be a PDF download)
- Recent system generated letter from regulated financial firm
- Most recent mortgage statement or Lease agreement

#### Important

We may request further documents from you if the documents provided are not acceptable, or do not provide us with the information we require to comply with Anti-Money Laundering regulations.

You may also be required to confirm more information about the source of the funds you are investing with us and the source of your wealth. We will write to you requesting this information if it is required.

Please note that funds will not be released from your account until all of these requirements are met, and not completing them can cause significant delays in the withdrawal process.