

Application Form – Overseas Individual Investors

Fundsmith Equity Fund and Fundsmith Sustainable Equity Fund

Application Form – Overseas Individual Investors

This form is for individuals who are not based in the UK. If you are based in the UK, please use the Direct UK Investors Form, which can be downloaded from our website www.fundsmith.co.uk.

- Please complete this form in black ink using **BLOCK CAPITALS**.
- Return the form to Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW, United Kingdom. If you wish to courier the form, please send to Fundsmith LLP, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG, United Kingdom.
- The Key Investor Information Documents and the Supplementary Information Document contain important information about the Fundsmith Equity Fund and the Fundsmith Sustainable Equity Fund and all investors should read these before making a decision to invest. These documents can be downloaded from our website, www.fundsmith.co.uk.
- If you are unsure about the suitability of our Funds, please consult your financial adviser.
- Upon receipt of an acceptable form, we will open your account and purchase the shares for you. Payment must be received within our settlement period of four working days from the date we receive your application. Please therefore ensure you have arranged for payment.

Personal details

Title Surname

Forename(s) D.O.B. / /

- If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Existing account number (if topping up existing account)

If the account is for a specific purpose, such as investing on behalf of a minor, you can designate the account for your reference.

Account designation (if applicable)

You can have up to three joint holders at different addresses linked to the account. If you would like to add joint holders to your account please complete the joint holder section on page 5.

Contact details

Permanent residential address in full

Postcode Email

Telephone Mobile

Secondary address

We are required to send certain documents to your registered address, however, if you would like to add a correspondence address for any other post, please provide this below.

Postcode

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Fund and Investment amount	
Fund choice	
Please select the fund in which you wish to invest	
<input type="checkbox"/> Fundsmith Equity Fund (FEF)	<input type="checkbox"/> Fundsmith Sustainable Equity Fund (FSEF)
Share class	Share class
Please select the Share Class in which you wish to invest	Please select the Share Class in which you wish to invest
<input type="checkbox"/> FEF I Class £5m minimum	<input type="checkbox"/> FSEF I Class £5m minimum
<input type="checkbox"/> FEF R Class £1000 minimum	<input type="checkbox"/> FSEF T Class £1000 minimum
<input type="checkbox"/> FEF T Class £1000 minimum	
Please confirm the amount you wish to invest in the above fund	
<input type="text"/>	<input type="text"/>

Income choice	
<input type="checkbox"/> Accumulation	Income is retained within the fund and added to your investment
<input type="checkbox"/> Income	Income will be paid to you semi-annually

Payment method	
<p>Important - Below are our bank account details. You are required to send us the exact amount to be invested quoted on this form (net of any charges) within four working days of this form being received, so please ensure that you have arranged for payment in good time. Payments received after this time can be returned, and we reserve the right to cancel your investment if money is not received within the settlement period.</p>	
Bank account details	
Account name:	Fundsmith LLP Client Money Receipts Account
Account number:	39569128
Bank name:	National Westminster Bank
Bank address:	Natwest Bank Plc, City of London Office. 1 Princes Street, London EC2R 8BP,
Sort code:	60-00-01
IBAN:	GB42NWBK60000139569128
BIC/SWIFT:	NWBKGB2L
Please quote your name and Fundsmith account number (if you have one) as a reference on the payment.	

Your bank details for withdrawals and distributions	
<input type="text"/>	<input type="text"/>
<input type="text"/>	
BIC/SWIFT	<input type="text"/>
<p>By completing this section you are providing a standing instruction for Fundsmith to pay any future withdrawal or income distribution to the above bank account. Generally payments are made for a value date four business days after the valuation point following the dealing instruction(s).</p>	

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Additional sections		Completed
Joint holders	To be completed if the investment is to be held jointly	<input type="checkbox"/>
Agent	If the investor is applying via a financial adviser or dealer	<input type="checkbox"/>
Individual self-certification	To be completed by all applicants (including joint holders)	<input type="checkbox"/>
Anti-Money Laundering requirements	Please read these and provide the documents as requested	<input type="checkbox"/>

Declaration

By signing this form, I declare:

- The information given in this application form is correct to the best of my knowledge and belief.
- I will notify Fundsmith immediately should any of the information provided, and in particular, any information about my tax residency, changes.
- Payment will be effected as detailed on the previous page.
- I am the beneficial owner of this investment.
- I accept that, in accordance with Fundsmith LLP's regulatory obligations, Fundsmith LLP may require additional information from me and I acknowledge that electronic data sources may be used to check my identity.
- I/we am/are NOT a U.S. Person, i.e. a U.S. citizen or tax resident in the United States of America and I/we will notify Fundsmith LLP immediately in the event that I/we become a U.S Person. I/we understand that the Fundsmith Equity Fund and Fundsmith Sustainable Equity Fund are not available to U.S. Persons.
- I have a copy of the Key Investor Information Document for the relevant class of Shares in the Funds in which I intend to invest and the Supplementary Information Document and have kept them for my records.
- I consent to Fundsmith LLP providing the Key Investor Information Document and the Supplementary Information Document via Fundsmith's website at www.fundsmith.co.uk.
- I hereby authorise Fundsmith LLP to accept withdrawal dealing instructions either by post, fax, telephone or online via the myAccount section of the Fundsmith website and to make payments to the bank account details of which are provided above.

Data protection

- Fundsmith will process any personal data that is provided in connection with this application from in accordance with Fundsmith's data protection policy, a copy of which is set out in the Supplementary Information Document. To the extent that Fundsmith receives any special category data from the applicant (including, for example, identification documentation from which an individual's racial or ethnic origin may be identified), the lawful basis upon which this processing shall be carried out shall be as set out in the Supplementary Information Document (as updated and amended from time to time) or otherwise upon the basis of consent. For the purposes of the foregoing, the applicant hereby confirms that it has obtained all necessary approvals, consents and authorisations as may be required to share this data with Fundsmith and for Fundsmith to process this data in connection with this application.

Please tick this box if you wish to receive marketing communications via email and post from Fundsmith.

Signature **Date**

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Joint holder 1

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own individual self-certification form at the end of this form.

Joint holder 2

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own individual self-certification form at the end of this form.

Joint holder 3

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own individual self-certification form at the end of this form.

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Agent

Name of adviser/firm/agency

Financial services register number

Your Fundsmith agency code

Please indicate whether advice has been given to UK investor Yes (default) No

Please confirm whether you are an 'Advice Giving' or 'Execution Only' agent on this account. Please note that renewal commission can only be paid on accounts that are i) Invested in the R Class ii) Set as Execution Only and iii) Are eligible to receive it.

If you are setting up the account to receive renewal commission, please also confirm by way of a covering letter, the bank account details for your agency and something to verify them (original or certified copy of a bank statement):

Advice Giving

Execution Only

Stamp (including registered address)

Signature **Date**

Data protection and sharing of information

Where we are notified of your financial or investment adviser, personal information provided may be shared with such adviser. You should notify us in writing if you no longer wish personal information to be shared with your adviser or of any change in the adviser.

Individual Self-certification

Tax residency

Tax regulations¹ require us to collect information about each investor’s tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty’s Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a U.S. citizen you must include United States in this table along with your U.S. Tax Identification Number. Please note the Funds are not available for investment by US citizens.. If you have any questions about your tax residency², please contact your tax adviser.

Country/Countries of tax residency	Tax identification number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Fundsmith immediately if any of this information changes in the future.

Signature

Date

Explanation Notes

- ¹ The term “tax regulations” refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
- ² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
- ³ Those countries that have agreed to exchange information under FATCA and the CRS1.

Identity Verification Requirements

Certification guidelines

In order for you to complete the application process, we require any documentation you supply us with to be correctly certified. Below are some guidelines for you to follow when obtaining the relevant documentation to complete your application with us.

Selfie Process

To make the process of providing your identity documents as stress free as possible, you can verify your identity by sending in a 'selfie' photo holding your ID card, Driving Licence or the photo page of your passport. This will have to meet the following criteria to be acceptable:

- Your face should be clearly recognisable in both the photo and in the identity document
- A separate picture of the document should be sent ensuring all the information is clear and legible. Please ensure the document is not obstructed by fingers/thumbs
- This should be accompanied by another picture of a valid proof of address document dated within 3 months

Please ensure you send the pictures to our dedicated email address and not via post to keep your information safe. The email address is AMLReturn@fundsmith.co.uk.

Document list

Please provide one document from each of the sections below. You cannot provide one document (e.g. driving license) for both personal identity and evidence of address, we must receive a different document to verify identity and address separately.

SECTION ONE: Evidence of personal identity

- Copy of valid passport.
- National Identity card showing clear photograph, date of issue, date of expiry and specimen signature.
- Drivers Licence showing clear photograph, date of issue, date of expiry and specimen signature.

SECTION TWO: Evidence of address

- National identity card.
- Current EU photo card driver's licence.
- Utility bill issued within last 3 months (mobile phone bills are not acceptable).
- Bank, building society, credit card or other financial institution statement issued within 3 months by a regulated financial institution.
- Official government document or letter such as a tax document issued within the last 3 months.

Important

If the documents we receive are not in good order, we may be required to reach out to you again to request further information.

This may include requesting further information in relation to the source of the funds that you have sent to us.

We will reach out to you directly to request this information, so please ensure that your contact information is correctly completed.