

Application Form – Overseas Individual Investors

Fundsmith Equity Fund, Fundsmith Stewardship Fund and Smithson Equity Fund

Application Form – Overseas Individual Investors

This form is for individuals who are not based in the UK. If you are based in the UK, please use the Direct UK Investors Form, which can be downloaded from our website www.fundsmith.co.uk.

- Please complete this form in ink using **BLOCK CAPITALS** or complete electronically.
- Return the form to Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.
- If you wish to courier the form please send it to Fundsmith LLP, Adams House, 2 Springfield Lyons Approach, Chelmsford, Essex, CM2 5LG.
- The Key Investor Information Documents (KIID), Prospectuses, Sustainability Disclosure Documents, and Supplementary Information Document (SID) contain important information about our funds and should be read prior to completing this form. These documents are provided via our website at www.fundsmith.co.uk.
- If you are unsure about the suitability of these funds, please consult your financial adviser.

If you have any questions our team is available to assist you and can be reached via:

- **Webchat:** Visit our website to connect with a member of our team.
- **Phone:** 0330 123 1815 (or +44 1268 448659 if calling from overseas) or;
- **Email:** enquiries@fundsmith.co.uk.

Investor details

Title	Surname
Forename(s)	D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.	
Existing account number (if topping up existing account)	
If the account is for a specific purpose, such as investing on behalf of a minor, you can designate the account for your reference.	
Account designation (if applicable)	

You can have up to three additional joint holders at different addresses linked to the account. If you would like to add joint holders to your account please complete the joint holder section on page 5.

Contact details

Permanent residential address in full	
Postcode	Email
Telephone	Mobile

Secondary address

We are required to send certain documents to your registered address, however, if you would like to add a correspondence address for any other post, please provide this below.

	Postcode

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Fund and Investment amount

Fund choice	Lump sum			Monthly direct debit	
	Minimum	Minimum top up	Amount	Minimum	Amount
Fundsmith Equity Fund	£1,000	£250		£100 per month	
	Minimum	Minimum top up	Amount	Minimum	Amount
Fundsmith Stewardship Fund	£1,000	£250		£100 per month	
	Minimum	Minimum top up	Amount	Minimum	Amount
Smithson Equity Fund	£1,000	£250		£100 per month	

Note: Where you have an existing monthly savings plan, the amount entered above will be added to your existing plan and can be below £100. Your investment will be placed directly into T Class Shares (1% Annual Management Charge) for Fundsmith Equity Fund or Fundsmith Stewardship Fund and into S Class Shares (0.9% Annual Management Charge) for Smithson Equity Fund.

Income choice

Accumulation Income is retained within the fund and added to your investment

Income Income will be paid to you semi-annually (N/A for Smithson Equity Fund)

Payment method

Important - Below are our bank account details. You are required to send us the exact amount to be invested quoted on this form (net of any charges) within four working days of this form being received, so please ensure that you have arranged for payment in good time. Payments received after this time can be returned, and we reserve the right to cancel your investment if money is not received within the settlement period.

Bank account details

Account name: Fundsmith LLP Client Money Receipts Account

Sort code: 60-00-01

Account number: 39569128

IBAN: GB42NWBK60000139569128

Bank name: National Westminster Bank

BIC/SWIFT: NWBKGB2L

Bank address: Natwest Bank Plc, City of London Office.
1 Princes Street, London EC2R 8BP,

Please quote your name and Fundsmith account number (if you have one) as a reference on the payment.

Your bank details for withdrawals and distributions

Please provide one of the following documents in order for us to verify your nominated bank account (please note that we only accept originals or certified copies):

- A statement for the bank account dated within the last three months;
- A cancelled cheque for the bank account;
- A paying in slip for the bank account;
- A letter from the bank confirming ownership of the bank account.

Name of bank						Branch											
Account holder(s)																	
Sort code						Account number											
For international bank accounts, please provide the following information																	
BIC/SWIFT												IBAN					

Additional sections

		Completed
Joint holders	To be completed if the investment is to be held jointly	<input type="checkbox"/>
Agent	If the investor is applying via a financial adviser or dealer	<input type="checkbox"/>
Individual self-certification	To be completed by all applicants (including joint holders)	<input type="checkbox"/>
Identity verification requirements	Please read these and provide the documents as requested	<input type="checkbox"/>

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Declaration

I/we declare that:

- The information given in this application form is correct to the best of my/our knowledge and belief.
- I/we will notify Fundsmith immediately should any of the information provided, and in particular, any information about my/our tax residency, change.
- Payment will be effected as detailed on the above.
- I/we am/are the beneficial owner(s) of this investment.
- I/we accept that, in accordance with Fundsmith LLP's regulatory obligations, Fundsmith LLP may require additional information from me/us and I/we acknowledge that electronic data sources may be used to check my/our identity.
- I/we am/are NOT a U.S. Person, i.e. resident of the United States of America and I/we will notify Fundsmith LLP immediately in the event that I/we become a U.S. Person. I/we understand that the Fundsmith Equity Fund, Fundsmith Stewardship Fund and Smithson Equity Fund are not available to U.S. Persons.
- I/we have a copy of the relevant Prospectuses, Key Investor Information Document, Sustainability Disclosure Documents, and the Supplementary Information Document and have kept them for my/our records.
- I/we consent to Fundsmith LLP providing the Prospectus, Key Investor Information Document, Supplementary Information Document, and Sustainability Disclosure Document via Fundsmith's website at www.fundsmith.co.uk.
- I/we acknowledge that the Fundsmith Equity Fund, Fundsmith Stewardship Fund and Smithson Equity Fund do not have UK sustainable investment labels as they do not have sustainability goals. Sustainable investment labels help investors find products that have a specific sustainability goal.

I/we authorise Fundsmith:

- To accept redemption dealing instructions, by post, telephone or online via the myAccount section of the Fundsmith website and for any redemption and distribution payment by electronic bank transfer to be made to the bank account specified above.
- In relation to assignment of the shares to LLP on a redemption, that I/we being the registered shareholder(s) assign the shares to Fundsmith LLP and acknowledge that I/we renounce my/our title in and rights to the shares.

Data protection

- I/we acknowledge that Fundsmith will process any personal data that is provided or is otherwise obtained in connection with this Application Form in accordance with Fundsmith's data protection policy, a copy of which is set out in the Supplementary Information Document. For the purposes of the foregoing, the applicant(s) hereby confirm(s) that it has obtained all necessary approvals, consents and authorisations as may be required to share this data with Fundsmith and for Fundsmith to process this data in connection with this application.
- I/we acknowledge that information related to my/our account may be reported to a tax authority or other competent authority and subsequently exchanged with relevant counterparty tax authorities and pursuant to applicable laws.
- To the extent that Fundsmith receives any special category data in connection with the processing of this Application Form (including, for example, identification documentation from which my/our racial or ethnic origin may be identified) I/we acknowledge that the lawful basis upon which this processing shall be carried out shall be as set out in the Supplementary Information Document (as updated and amended from time to time).

Please tick this box if you wish to receive marketing communications via email and post from Fundsmith.

Signature

Date

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Joint holder 1

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own tax residency form at the end of this form.

Joint holder 2

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own tax residency form at the end of this form.

Joint holder 3

Title Surname

Forename(s) D.O.B. / /

If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.

Permanent residential address

Postcode

Telephone Mobile Email

By signing below, I indicate that I have read the Declaration above and agree to be bound by its terms.

Signature Date

Please ensure you have completed your own tax residency form at the end of this form.

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Agent	
Name of adviser/firm/agency	
Financial services register number or equivalent	
Your Fundsmith agency code	
Please indicate whether advice has been given to a UK investor	
Yes (default) <input type="checkbox"/> No <input type="checkbox"/>	
If you wish to receive rebate and commission payments on this account and are able to do so in accordance with all applicable laws, please contact rebates@fundsmith.co.uk to either setup or amend an agreement with Fundsmith LLP.	
Stamp (including registered address)	
Signature	Date
<input type="text"/>	<input type="text"/>

Data protection and sharing of information
Where we are notified of your financial or investment adviser, personal information provided may be shared with such adviser. You should notify us in writing if you no longer wish personal information to be shared with your adviser or of any change in the adviser.

Tax Residency

Tax residency

Tax regulations¹ require us to collect information about each investor’s tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with His Majesty’s Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a U.S. citizen you must include United States in this table along with your U.S. Tax Identification Number. Please note the Funds are not available for investment by U.S. Persons i.e. persons resident in the U.S.

Country/Countries of tax residency	Tax identification number

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Fundsmith immediately if any of this information changes in the future.

Name (please print in full)

Signature Date

Explanation Notes

- ¹ The term “tax regulations” refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
- ² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
- ³ Those countries that have agreed to exchange information under FATCA and the CRS1.

Identity Verification Requirements

Certification guidelines

In order for you to complete the application process, we require any documentation you supply us with to be correctly certified. Below are some guidelines for you to follow when obtaining the relevant documentation to complete your application with us.

Selfie process

To make the process of providing your identity documents as stress free as possible, you can verify your identity by sending in a 'selfie' photo holding your ID card, Driving Licence or the photo page of your passport. This will have to meet the following criteria to be acceptable:

- Your face should be clearly recognisable in both the photo and in the identity document
- A separate picture of the document should be sent ensuring all the information is clear and legible. Please ensure the document is not obstructed by fingers/thumbs
- This should be accompanied by another picture of a valid proof of address document dated within 3 months

Please ensure you send the pictures to our dedicated email address and not via post to keep your information safe. The email address is clientverification@fundsmith.co.uk.

Document list

Please provide one document from each of the sections below. You cannot provide one document (e.g. driving licence) for both personal identity and evidence of address, we must receive a different document to verify identity and address separately.

SECTION ONE: Evidence of personal identity

- Copy of valid passport.
- National Identity card showing clear photograph, date of issue, date of expiry and specimen signature.
- Driver's Licence showing clear photograph, date of issue, date of expiry and specimen signature.

SECTION TWO: Evidence of address

- National identity card.
- Current EU photo card driver's licence.
- Utility bill issued within last 3 months (mobile phone bills are not acceptable).
- Bank, building society, credit card or other financial institution statement issued within 3 months by a regulated financial institution.
- Official government document or letter such as a tax document issued within the last 3 months.

Important

If the documents we receive are not in good order, we may be required to reach out to you again to request further information.

This may include requesting further information in relation to the source of the funds that you have sent to us.

We will reach out to you directly to request this information, so please ensure that your contact information is correctly completed.