

Source of Funds Declaration

# Source of Funds Declaration

To meet our regulatory obligations, we need to understand the origin of the funds used to finance the below investment(s).

Please complete and return the form promptly to us at the below email or postal address, to ensure your account can continue to operate normally. If you have any questions, please contact us on the below details.

- **Email:** ClientVerification@fundsmith.co.uk
- **Phone:** 0330 123 1815 (or +44 1268 448659 if calling from overseas) or;
- **Post:** Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.

**Transactions for which we require source of funds information**

Account number	
Date <input type="text" value="DD/MM/YYYY"/>	Amount
Deal ID	
Account number	
Date <input type="text" value="DD/MM/YYYY"/>	Amount
Deal ID	
Account number	
Date <input type="text" value="DD/MM/YYYY"/>	Amount
Deal ID	
Account number	
Date <input type="text" value="DD/MM/YYYY"/>	Amount
Deal ID	
Account number	
Date <input type="text" value="DD/MM/YYYY"/>	Amount
Deal ID	

**I hereby confirm that the money used to fund the above investment(s) is derived from:**  
Please tick all relevant boxes and complete the related section(s) as applicable i.e. if box A is ticked, please complete section A.

A. Employment	<input type="checkbox"/>	D. Investment(s)	<input type="checkbox"/>
B. Property Ownership	<input type="checkbox"/>	E. Inheritance	<input type="checkbox"/>
C. Business Ownership	<input type="checkbox"/>	F. Other	<input type="checkbox"/>

**Evidence**

Please provide supporting evidence for the source(s) of funds you have selected. Please see the 'Source of Funds Evidence' section at the end of this form for details on relevant evidence.

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### Section A. Employment

Job description and summary of career to date, alternatively you may provide a link to your public LinkedIn profile or attach a CV.

If you are retired, please confirm by ticking this box and please advise previous occupation and retirement date and complete the sections below based on your prior occupation.

Name of current or last employer (or business if self-employed)

Nature of current or last employer's business

Current pension savings and income per annum (if relevant)

Current annual salary and annual bonus (please specify currency in which you receive your annual income)

### Section B. Property Ownership

Description of property (residential/commercial)

Property address

Postcode

Country

Purchase date

  /    

Sale date (if applicable)

  /    

Sale proceeds received including currency (if applicable)

Annual income from property (if applicable)

If multiple properties please provide details

### Section C. Business Ownership

Name of business

Industry

Countries of Operation

Date business established/purchased

  /    

Date business sold (if applicable)

  /    

Annual income from business/Proceeds from business sale

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### Section D. Investment(s)

Please provide a summary of your investments including dates bought/sold and details of how acquired

### Section E. Inheritance

Relation to benefactor

Benefactor's name

Date inheritance received

  /    

Amount received and currency

### Section F. Other including gifts, divorce, loan and other sources of funds

Please summarise the source of funds

### Declaration

I hereby declare that all my investments with Fundsmith were made on my own behalf and are not on behalf of any third party.

**Signature**

**Date**

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Information we are seeking to verify	Documentary Evidence (one or more of)
<b>A</b>	
<p><b>Income from Employment</b></p> <p>Salary per annum</p> <p>Employer's name</p>	<ul style="list-style-type: none"> <li>• A bank statement clearly showing receipt of regular salary payment from named employer, from within the last three months; Or</li> <li>• A payslip from within the last three months (including bonus payments, where appropriate) clearly showing named employer and amount received; Or</li> <li>• The most recent P60 form showing the employer's name and salary received</li> </ul>
<p><b>Self Employment</b></p> <p>Name of business</p> <p>Amount of annual profit</p>	<ul style="list-style-type: none"> <li>• Formal HMRC Tax computation from regulated accountant clearly showing amount of income received</li> </ul>
<p><b>Retirement income</b></p> <p>Pension Income</p>	<ul style="list-style-type: none"> <li>• A pension statement clearly showing name of provider, name of recipient, payment amount and frequency of income. Or;</li> <li>• A pension pay slip clearly showing the name of the pension provider, name of recipient, amount and frequency of income. Or;</li> <li>• A letter from a regulated accountant clearly showing name of recipient, amount and frequency of income. Or;</li> <li>• A letter from the annuity provider clearly showing name of provider, name of name of recipient, payment amount and frequency of income</li> </ul>
<b>B</b>	
<p><b>Sale of Property</b></p> <p>Address of property sold</p> <p>Date of sale</p> <p>Total sale amount</p>	<ul style="list-style-type: none"> <li>• Letter from a licensed solicitor or regulated accountant, stating property address, date of sale, proceeds received, and the name of the purchaser along with the solicitor's statement of completion</li> <li>• Copy of sale contract as well as proof of receipt of funds e.g. bank statement clearly showing receipt of funds and name of purchaser</li> </ul>
<p><b>Rental Income</b></p> <p>Address of the property that rental income is generated from</p> <p>Total value of property</p> <p>Rental amount: Monthly/Annually</p>	<ul style="list-style-type: none"> <li>• A letter from a licensed solicitor or regulated accountant, stating property address, the property value, total rental amount and frequency; Or;</li> <li>• A copy of the rental contract as well as proof of receipt of funds e.g. bank statement clearly showing receipt of funds</li> </ul>

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<b>C</b>	
<p><b>Business Ownership/Company Profits/Partnership Profits</b></p> <p>Name and address of company</p> <p>Nature of company</p> <p>Amount of annual profit</p>	<ul style="list-style-type: none"> <li>• Copy of latest audited company accounts. Or;</li> <li>• Documentary evidence of the nature of business activity and turnover, e.g. a letter from a regulated accountant. Or;</li> <li>• The last three months' of bank statements for the business account.</li> </ul> <p><b>And;</b></p> <ul style="list-style-type: none"> <li>• Official business entity documentation where ownership is noted, along with ownership by any other individuals. Or;</li> <li>• Recent dividend statements</li> </ul>
<p><b>Sale of a company</b></p> <p>Address of company sold</p> <p>Name of the company</p> <p>Date of sale</p> <p>Total sale amount</p> <p>Share of proceeds</p>	<ul style="list-style-type: none"> <li>• A letter detailing the company sale signed by a licensed solicitor or regulated accountant on letter-headed paper. Or;</li> <li>• A copy of the contract of sale, plus bank statement showing proceeds received</li> </ul>

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<b>D</b>	
<p><b>Dividend payment</b></p> <p>Date of receipt of dividend</p> <p>Total amount received</p> <p>Name of company paying dividend</p>	<ul style="list-style-type: none"> <li>• A dividend contract note or equivalent, showing the dividend details, recipient name and entitlement. Or;</li> <li>• A bank statement showing the name of the recipient, receipt of funds and name of the company paying the dividend</li> </ul>
<p><b>Savings or Investment</b></p> <p>Name and country of institution where the savings/investment account is held</p>	<ul style="list-style-type: none"> <li>• Savings/Investment statement clearly showing name of recipient and amount of funds/investment, dated within the last three months</li> </ul>
<p><b>Redemption of Investments</b></p> <p>Date funds received</p> <p>From which company</p> <p>Total amount value</p>	<ul style="list-style-type: none"> <li>• Contract notes or cash- in statements. Or;</li> <li>• Bank statement clearly showing receipt of funds and investment company name. Or;</li> <li>• Signed letter detailing funds from a regulated accountant/solicitor. Or;</li> <li>• Redemption Certificate</li> </ul>

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<b>E</b>	
<p><b>Inheritance</b></p> <p>Name of the deceased and their relationship to you</p> <p>Date of death</p> <p>Total amount received</p>	<p>Evidence that the customer is the inheritor as well as one of the following:</p> <ul style="list-style-type: none"> <li>• The Grant of Probate (with a copy of the Will) clearly showing the amount of inheritance. Or;</li> <li>• A signed letter from a licensed solicitor or estate trustees on letter-headed paper clearly indicating the amount of inheritance. Or;</li> <li>• The Succession Certificate, detailing the sum amount, name of the inheritor and the Solicitor's details</li> </ul>
<p><b>Please note:</b> evidence of the deceased's Source of Wealth may be required.</p>	
<b>F</b>	
<p><b>Homemaker or Student</b></p> <p>Details of income coming from the payer/benefactor (identity, occupation and income source)</p>	<ul style="list-style-type: none"> <li>• Bank statements clearly showing the name of the payer, name of recipient and the amount and frequency of income. And;</li> <li>• Evidence of Income from the benefactor is required (to be provided in line with the relevant documentary evidence requirements)</li> </ul>
<p><b>Gift/Donation</b></p> <p>The date the gift was received and the full amount of money received</p> <p>Reason for gift and relationship between you and the donor</p>	<p>Documentary evidence of the donor's source of wealth as detailed below:</p> <ul style="list-style-type: none"> <li>• Letter from an accountant/lawyer confirming amount, date, benefactor and reason for the gift(s). Or;</li> <li>• A signed letter from the benefactor with Source of Wealth Validation of the donor</li> </ul>
<p><b>Divorce settlement</b></p> <p>Date of which settlement was received and the total amount received</p>	<ul style="list-style-type: none"> <li>• Copy of court order clearly indicating the amount of settlement. Or;</li> <li>• Letter confirming the divorce settlement as well as indicating the amount of settlement and signed by a licensed solicitor on letter-headed paper accompanied by updated proof of solicitor's regulated status</li> </ul>
<p><b>Loan</b></p> <p>Date of loan</p> <p>Total amount</p> <p>Name &amp; nature of loan provider</p>	<ul style="list-style-type: none"> <li>• Loan Agreement. And;</li> <li>• Loan Statement (for the most recent three months)</li> </ul>

**Please note:** Where we are unable to verify the regulated status of any individual, we may request additional information.