

# **Application Form – UK Individual Investors**

Fundsmith Equity Fund and Fundsmith Stewardship Fund

## Application Form – UK Individual Investors

Please use this form to make an initial investment, or top-up an existing investment, in the Fundsmith Equity Fund or Fundsmith Stewardship Fund, if you are an individual resident in the United Kingdom. If you are investing from overseas, or are a corporate investor, please refer to the Forms page on our website for the correct form.

- Please complete this form in ink using **BLOCK CAPITALS**.
- Return the form to Fundsmith LLP, PO Box 10846, Chelmsford, CM99 2BW.
- Please ensure you have arranged for payment. If you wish to pay by debit card, please call us on 0330 123 1815.
- The Prospectuses, Key Investor Information Documents, Sustainability Disclosure Document, and the Supplementary Information Document contain important information about the Fundsmith Equity Fund and the Fundsmith Stewardship Fund. All investors should read these before making a decision to invest. These documents can be downloaded from our website, [www.fundsmith.co.uk](http://www.fundsmith.co.uk).
- If you are unsure whether the Fundsmith Equity Fund or Fundsmith Stewardship Fund are right for you, please consult your financial adviser.

If you have any questions regarding this form or would like to discuss any other matter, our team is available to assist you. You can reach us using any of the following:

- **Webchat:** Visit our website to connect with a member of our team.
- **Phone:** 0330 123 1815 (or +44 1268 448659 if calling from overseas) or;
- **Email:** [enquiries@fundsmith.co.uk](mailto:enquiries@fundsmith.co.uk)

**Personal details**

Title	Surname		
Forename(s)		D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
<input type="checkbox"/> If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.			
Existing account number (if topping up existing account)			
If the account is for a specific purpose, you can provide a reference for the account below to make it easier to identify in your records.			
Account designation (if applicable)			

You can have up to three joint holders at different addresses on the register. If you would like to add joint holders to your account please complete the joint holder section on page 5.

**Contact details**

Permanent residential address in full	
Postcode	Email
Telephone	Mobile

## Tax residency

Tax regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with His Majesty's Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a U.S. citizen you must include United States in this table along with your U.S. Tax Identification Number. Please note the Funds are not available for investment by U.S. Persons i.e. resident in the U.S. If you are a dual tax resident and rely on tie-breaker rules to determine your tax residence, you must still self-certify all jurisdictions of tax residence on this form. If you have any questions about your tax residency, please contact your tax adviser.

Country/Countries of tax residency	Tax identification number
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

## Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete.

I agree to notify Fundsmith immediately if any of this information changes in the future.

Name (please print in full)

**Signature**

**Date**

<input type="text"/>	<input type="text"/>
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## Fund and investment amount

Fund choice	Lump sum			Monthly direct debit	
	Minimum	Minimum top up	Amount	Minimum	Amount
<b>Fundsmith Equity Fund</b>	£1,000	£250	<input type="text"/>	£100 per month	<input type="text"/>
	Minimum	Minimum top up	Amount	Minimum	Amount
<b>Fundsmith Stewardship Fund</b>	£1,000	£250	<input type="text"/>	£100 per month	<input type="text"/>

**Note:** Where you have an existing monthly savings plan, the amount entered above will be added to your existing plan and can be below £100. Your investment will be placed directly into the T Class shares.

## Application Form – UK Individual Investors

### Income choice

Accumulation  Income is retained within the fund

Income  Income will be paid to you semi-annually

### Payment method

Please indicate how you will be paying for your investment

- Electronic transfer to Fundsmith LLP's account  
 Account name: Fundsmith LLP Client Money Receipts Account  
 Sort code: 60-00-01  
 Account number: 39569128  
 Please quote your name and Fundsmith account number (If you have one)
- Direct Debit\*, paid on the 1st of each month from the bank account provided on this form
- Cheque enclosed payable to Fundsmith LLP

\*Please ensure you have completed the Direct Debit form at the back of this application.

### Your bank details

Please provide one of the following documents in order for us to verify your nominated bank account (please note that we only accept originals or certified copies):

- A cancelled cheque for the bank account;
- A paying in slip for the bank account;
- A statement for the bank account dated within the last three months;
- A letter from the bank confirming ownership of the bank account.

Name of bank

Branch

Account holder(s)

Sort code

     

Account number

         

For international bank accounts, please provide the following information

BIC/SWIFT

       

IBAN

I/we hereby authorise Fundsmith LLP to accept redemption dealing instructions relating to the above account(s) either by post, fax, telephone or online by verified channels. This agreement shall be treated as the 'Form of Renunciation' and 'Instrument of Transfer' of the shares to Fundsmith LLP for each redemption deal placed.

## Application Form – UK Individual Investors

Joint holder 1		
Title	Surname	
Forename(s)	D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
<input type="checkbox"/> If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.		
Permanent residential address		
		Postcode
Email	Telephone	Mobile
By signing below, I indicate that I have read the Declaration below and agree to be bound by its terms.		
Signature		Date

Joint holder 2		
Title	Surname	
Forename(s)	D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
<input type="checkbox"/> If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.		
Permanent residential address		
		Postcode
Email	Telephone	Mobile
By signing below, I indicate that I have read the Declaration below and agree to be bound by its terms.		
Signature		Date

Joint holder 3		
Title	Surname	
Forename(s)	D.O.B. <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
<input type="checkbox"/> If you hold or have held any political or public function, or if you are an immediate relative, close friend or business associate of a person that holds or has held any political or public function please tick this box.		
Permanent residential address		
		Postcode
Email	Telephone	Mobile
By signing below, I indicate that I have read the Declaration below and agree to be bound by its terms.		
Signature		Date

## Application Form – UK Individual Investors

### Declaration

#### I/we declare that:

- The information given in this application form is correct to the best of my/our knowledge and belief.
- Payment has been effected as stated above.
- I am/we are the beneficial owners of this investment.
- I/we accept that, in accordance with its regulatory obligations, Fundsmith LLP may require additional information from me/us and I/we acknowledge that electronic data sources may be used to check my/our identity.
- I/we am/are NOT a U.S. Person, i.e. resident of the U.S. and I/we will notify Fundsmith LLP immediately in the event that I/we become a U.S. Person. I/we understand that the Fundsmith Equity Fund and Fundsmith Stewardship Fund are not available to U.S. Persons.
- I/we have a copy of the relevant Prospectuses, Key Investor Information Document, Sustainability Disclosure Documents, and the Supplementary Information Document and have kept them for my/our records.
- I/we consent to Fundsmith LLP providing the Prospectus, Key Investor Information Document, Sustainability Disclosure Documents and the Sustainability Information Document via Fundsmith's website at [www.fundsmith.co.uk](http://www.fundsmith.co.uk).
- I acknowledge that the Fundsmith Stewardship Fund does not have a UK sustainable investment label as it does not have a sustainability goal. Sustainable investment labels help investors find products that have a special sustainability goal.

#### I authorise Fundsmith:

- To accept dealing instructions either by post, fax, telephone or online via the myAccount section of the website, and to make payments to the bank account details which are provided above.

#### Data protection

- I acknowledge that Fundsmith will process any personal data that is provided or is otherwise obtained in connection with this Application Form in accordance with Fundsmith's data protection policy, a copy of which is set out in the Supplementary Information Document.
- To the extent that Fundsmith receives any special category data in connection with the processing of this Application Form (including, for example, identification documentation from which my racial or ethnic origin may be identified) I acknowledge that the lawful basis upon which this processing shall be carried out shall be as set out in the Supplementary Information Document (as updated and amended from time to time). For the purposes of the foregoing, I hereby consent to the processing of such special category data for the purpose of processing this Application Form.
- I acknowledge that information related to my account may be reported to a tax authority or other competent authority and subsequently exchanged with relevant counterparty tax authorities and pursuant to applicable laws.

Please tick this box if you wish to receive marketing communications via email and post from Fundsmith.

Signature

Date

## Application Form – UK Individual Investors

Agent	
Name of adviser/firm/agency	
Financial services register number	
Your Fundsmith agency code	
Please indicate whether advice has been given to a UK investor	
Yes (default)	<input type="checkbox"/>
No	<input type="checkbox"/>
If you wish to receive rebate and commission payments on this account and are able to do so in accordance with all applicable laws, please contact rebates@fundsmith.co.uk to either setup or amend an agreement with Fundsmith LLP.	
Stamp (including registered address)	
Signature	Date
<input type="text"/>	<input type="text"/>

Data protection and sharing of information
Where we are notified of your financial or investment adviser, personal information provided may be shared with such adviser. You should notify us in writing if you no longer wish personal information to be shared with your adviser or of any change in the adviser.

